

# Brochure Supplement

September 1, 2023

## Justin B. Archote

100 Menetre Drive, Suite B  
Covington, LA 70433

(985) 517-8600

This Brochure Supplement provides information about Justin B. Archote that supplements the Disclosure Brochure of Second Line Capital, LLC (hereinafter "SLC"), a copy of which you should have received. Please contact SLC's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Justin B. Archote is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Second Line Capital, LLC, a Registered Investment Adviser**

2800 Veterans Memorial Boulevard, Suite 220, Metairie, LA 70002 | (504) 321-0923

### Item 2. Educational Background and Business Experience

Born 1995

#### Post-Secondary Education

Southeastern Louisiana University | M.B.A., Business Administration | 2019

Southeastern Louisiana University | B.A., Small Business Administration & Entrepreneurship | 2017

#### Recent Business Background

Second Line Capital, LLC | Investment Adviser Representative | September 2023 – Present

LPL Financial, LLC | Registered Representative | September 2023 – Present

Edward Jones | Financial Advisor & Registered Representative | September 2019 – September 2023

Southeastern Louisiana University | Admissions Counselor | August 2017 – September 2019

#### Professional Designation

Justin B. Archote holds the professional designation of CERTIFIED FINANCIAL PLANNER™ (“CFP®”).

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the “CFP Board”) to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor’s degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

For additional information about this credential, please refer directly to the website of the issuing organization.

### Item 3. Disciplinary Information

SLC is required to disclose information regarding any legal or disciplinary events material to a client’s evaluation of Justin B. Archote. SLC has no information to disclose in relation to this Item.

### Item 4. Other Business Activities

SLC is required to disclose information regarding any investment-related business or occupation in which Justin B. Archote is actively engaged.

#### Registered Representative of a Broker-Dealer

Justin B. Archote is a registered representative of LPL Financial (“LPL”), an SEC registered broker-dealer and member of FINRA. In this capacity, Justin B. Archote may provide securities brokerage services and implement securities transactions under a commission based arrangement. Justin B. Archote may be entitled to a portion of the brokerage commissions paid to LPL, as well as a share of any ongoing distribution or service (“trail”) fees from the sale of mutual funds.

A conflict of interest exists to the extent that Justin B. Archote recommends the purchase of securities where he receives commissions or other additional compensation as a result. This practice may give him an incentive to recommend investment products based on compensation received rather than on the client’s needs. SLC has procedures in place to ensure that all recommendations are made in the best interests of clients regardless of any additional compensation earned. For certain accounts covered by ERISA (and such others that the firm deems appropriate), SLC provides investment advisory services on a fee offset basis, whereby the firm reduces its fee by an amount equal to the aggregate commissions and 12b-1 fees earned by Justin B. Archote in his individual capacity as a registered representative of LPL.

### Item 5. Additional Compensation

SLC is required to disclose information regarding any arrangement under which Justin B. Archote receives an economic benefit from someone other than a client for providing investment advisory services. SLC has no information to disclose in relation to this Item.

### Item 6. Supervision

Peter David Soliman, President, CCO, and Chairman, is generally responsible for supervising Justin B. Archote’s advisory activities on behalf of SLC. Peter David Soliman can be reached at the firm’s main telephone number listed on the cover page of this Brochure Supplement.

SLC supervises its personnel and the investments made in client accounts. SLC monitors the investments recommended by Justin B. Archote to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. SLC periodically reviews the advisory activities of Justin B. Archote, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Justin B. Archote.