# **Brochure Supplement**

May 18, 2023

## **Connor H. Duplessis**

2800 Veterans Memorial Blvd., Ste. 220 Metairie, LA 70002

(504) 321-0923

This Brochure Supplement provides information about Connor H. Duplessis that supplements the Disclosure Brochure of Second Line Capital, LLC (hereinafter "SLC"), a copy of which you should have received. Please contact SLC's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Connor H. Duplessis is available on the SEC's website at www.adviserinfo.sec.gov.

Second Line Capital, LLC, a Registered Investment Adviser

2800 Veterans Memorial Boulevard, Suite 220, Metairie, LA 70002 | (504) 321-0923

## Item 2. Educational Background and Business Experience

Born 1993

#### **Post-Secondary Education**

Louisiana State University | Bachelor of Science, Finance | 2015

#### **Recent Business Background**

Second Line Capital, LLC | Investment Adviser Representative | May 2023 - Present

Advisor Resource Council | Private Wealth Associate | February 2022 – May 2023

Antioch Community Church of Greater New Orleans | Administrative Pastor | March 2018 – December 2022

#### **Professional Designation**

Connor H. Duplessis holds the professional designation of CERTIFIED FINANCIAL PLANNER™ ("CFP®")

The CFP<sup>®</sup> certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the "CFP Board") to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor's degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP<sup>®</sup> Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP<sup>®</sup> designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

For additional information about this credential, please refer directly to the website of the issuing organization.

## Item 3. Disciplinary Information

SLC is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Connor H. Duplessis. SLC has no information to disclose in relation to this Item.

#### Item 4. Other Business Activities

SLC is required to disclose information regarding any investment-related business or occupation in which Connor H. Duplessis is actively engaged.

#### Licensed Insurance Agent

Connor H. Duplessis is a licensed insurance agent and in such capacity may recommend, on a fullydisclosed commission basis, the purchase of certain insurance products. A conflict of interest exists to the extent that SLC recommends the purchase of insurance products where Connor H. Duplessis receives insurance commissions or other additional compensation. SLC seeks to ensure that all recommendations are made in the best interests of clients regardless of any additional compensation earned.

## Item 5. Additional Compensation

SLC is required to disclose information regarding any arrangement under which Connor H. Duplessis receives an economic benefit from someone other than a client for providing investment advisory services. SLC has no information to disclose in relation to this Item.

## Item 6. Supervision

Peter David Soliman, President, CCO, and Chairman, is generally responsible for supervising Connor H. Duplessis's advisory activities on behalf of SLC. Peter David Soliman can be reached at the firm's main telephone number listed on the cover page of this Brochure Supplement.

SLC supervises its personnel and the investments made in client accounts. SLC monitors the investments recommended by Connor H. Duplessis to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. SLC periodically reviews the advisory activities of Connor H. Duplessis, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Connor H. Duplessis.